Annexure-B

Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for disclosing data of complaints on their website:

Data for the month ending June 2022

| S | Received from | Carried | Received | Total | Resolved | Pending at the end of | | Average |
|---|-------------------------|--------------|------------|---------|----------|-----------------------|----------|----------------|
| N | | forward from | during the | Pending | | the month | | Resolution |
| | | previous | month | | | | | time (in days) |
| | | month | | | | | | |
| | | | | | | Pending | Pending | |
| | | | | | | for less | for more | |
| | | | | | | than 3 | than 3 | |
| | | | | | | months | months | |
| 1 | 2 | 3 | 4 | 5 | 6 | | 7 | 8 |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | 1 | NIL | 1 | NIL | NIL | 1 |
| 3 | Stock Exchanges (if | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| | relevant) | | | | | | | |
| 4 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 5 | Grand Total | NIL | 1 | NIL | 1 | NIL | NIL | 1 |

Month wise data for the current financial year (Jun 2022):

| SN | Month | Carried forward From previous month | Received | Resolved | Pending |
|----|-------------|---|----------|----------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April, 2022 | Nil | 1 | 1 | Nil |
| 2 | May, 2022 | Nil | Nil | Nil | Nil |
| 3 | June, 2022 | Nil | 1 | 1 | Nil |
| | Grand Total | Nil | 2 | 2 | Nil |

Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis):

| S No | Year | Carried forward from | Received | Resolved | Pending |
|------|-------------|----------------------|----------|----------|---------|
| | | Previous year | | | |
| 1 | 2018-19 | Nil | 25 | 25 | Nil |
| 2 | 2019-20 | Nil | 13 | 13 | Nil |
| 3 | 2020-21 | Nil | 7 | 7 | Nil |
| 4 | 2021-22 | Nil | 3 | 3 | Nil |
| 5 | 2022-23 | Nil | 2 | 2 | Nil |
| | Grand Total | Nil | 50 | 50 | Nil |